



Industry Guidance Spreadsheet

FDA GUIDANCE DOCUMENT CATEGORY: DRUG/BA/BE	CURRENT VERSION	STATUS OF REVISION
<u>Considerations for the Design and Conduct of Externally Controlled Trials for Drug and Biological Products (Real World Data/Real World Evidence)</u>	02.2023 - Draft	
<u>Submitting documents using real-world data and real-world evidence to FDA for drug and biological products</u>	09.2022 - Final	
<u>Master Protocols: Efficient Clinical Trial Design Strategies to Expedite Development of Oncology Drugs and Biologics</u>	03.2022 - Final	
<u>Interpreting sameness of gene therapy products under orphan drug regulations</u>	09.2021 - Final	
<u>Adaptive Design Clinical Trials for Drugs and Biologics</u>	09.2019 - Final	
<u>Enrichment Strategies for Clinical Trials to Support Approval of Human Drugs and Biological Products</u>	03.2019 - Final	
<u>Individual Patient Expanded Access Applications: Form FDA 3926</u>	10.2017 - Final	
<u>Emergency Use Authorization of Medical Products and Related Authorities</u>	01.2017 - Final	
<u>Charging for Investigational Drugs under an IND Q&As</u>	02.2024 - Final	
<u>Expanded Access to Investigational Drugs for Treatment Use – Q&As</u>	06.2016 - Final	
<u>Investigational New Drug applications – determining whether human research studies can be conducted without an IND</u>	09.2013 - Final	



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<u>Safety reporting requirements for INDs and Bioavailability/Bioequivalence (BA/BE) studies – Small Entity Compliance Guide</u>	12.2012 - Final	
<u>Safety reporting requirements for INDs and Bioavailability/Bioequivalence (BA/BE) studies</u>	12.2012 - Final	
<u>Radioactive Drug Research Committee: Human Research without an IND application</u>	08.2010 - Final	
FDA GUIDANCE DOCUMENT CATEGORY: DEVICES	CURRENT VERSION	STATUS OF REVISION
<u>Digital Health Technologies for Remote Data Acquisition in Clinical Investigations</u>	12.2023 - Final	
<u>Humanitarian Device Exemption (HDE) Program</u>	09.2019 - Final	
<u>Humanitarian Use Device (HUD) Designations</u>	09.2019 - Final	
<u>Investigational IVDs used in clinical investigations of therapeutic products</u>	12.2017 - Draft	
<u>Acceptance of Clinical Data to Support Medical Device Applications and Submissions: FAQ</u>	02.2018 - Final	
<u>Evaluation and Reporting of Age, Race and Ethnicity-Specific Data in Medical Device Clinical Studies</u>	09.2017 - Final	
<u>Clinical considerations for investigational device exemptions (IDEs) for Neurological Devices targeting disease progression and clinical outcomes</u>	11.2016 - Final	
<u>Adaptive designs for medical device clinical studies</u>	07.2016 - Final	



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<u>Design considerations for pivotal clinical investigations for medical devices</u>	11.2013 - Final	
<u>Investigational Device Exemptions (IDEs) for Early Feasibility Medical Device Clinical Studies</u>	10.2023 - Final	
<u>Information Sheet: FAQs about Medical Devices</u>	01.2006 - Final	
<u>Information Sheet: Significant Risk and Nonsignificant Risk Medical Device Studies</u>	01.2006 - Final	
FDA GUIDANCE DOCUMENT CATEGORY: GCP/CLINICAL TRIALS	CURRENT VERSION	STATUS OF REVISION
<u>Diversity Action plans to Improve Enrollment of Participants from Underrepresented Populations in Clinical Studies</u>	06.26.2024 - Draft	Closed for Comments on 09.26.2024
<u>Standardized format for electronic submissions for marketing applications content for planning of bioresearch monitoring.(BIMO) inspections for CBER submissions</u>	06.05.2024 - Draft	Closed for Comments on 08.05.2024
<u>Cancer Clinical Trial Eligibility Criteria: Laboratory Values</u>	04.25.2024 - Draft	Closed for Comments on 06.25.2024
<u>Cancer Clinical Trial Eligibility Criteria: Performance Status</u>	04.25.2024 - Draft	Closed for Comments on 06.25.2024
<u>Cancer Clinical Trial Eligibility Criteria: Washout Periods and Concomitant</u>	04.25.2024 - Draft	Closed for Comments on 06.25.2024
<u>Artificial Intelligence & Medical Products: How CBER, CDER, CDRH & OCP are Working Together</u>	03.2024 - Draft	



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<u>Real World Evidence: Considerations regarding non-interventional studies for drug and biological products</u>	03.21.2024 - Draft	Closed for Comments on 06.18.2024
<u>A risk-based approach to monitoring of clinical investigations questions and answers</u>	04.12.2023 - Draft	
<u>E6(R3) Good Clinical Practice (GCP)</u>	06.06.2023 - Draft	Closed for Comments on 09.05.2023
<u>Decentralized Clinical Trials for Drugs, Biological Products, and Devices</u>	09.2024 - Final	
<u>Considerations for the design and conduct of externally controlled trials for drug and biological products</u>	02.01.2023 - Draft	Closed for Comments on 05.02.2023
<u>Characterizing, Collecting, and Reporting Immune-Mediated Adverse Reactions in Cancer Immunotherapeutic Clinical Trials</u>	10.17.2022 - Draft	
<u>Investigator responsibilities – safety reporting for investigational drugs and devices</u>	09.29.2021 - Draft	
<u>Real-world data: Electronic Health Records and Medical Claims data to support regulatory decision-making for drug and biological products</u>	07.2024	
<u>Core Patient Reported Outcomes in Cancer Clinical Trials</u>	06.2021 - Draft	
<u>Sponsor responsibilities – safety reporting requirements and safety assessment for IND and Bioavailability/Bioequivalence studies guidance for Industry</u>	06.25.2021 - Draft	
<u>Information Sheet: Guidance for Sponsors, Clinical Investigators, and IRBs FAQs Form FDA 1572</u>	05.2021 - Draft	



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<u>Enhancing the Diversity of Clinical Trial Populations – Eligibility Criteria, Enrollment Practices, and Trial Designs</u>	09.2020 - Final	
<u>Civil Money Penalties Relating to the ClinicalTrials.gov Data Bank</u>	08.2020 - Final	
<u>Postmarketing Studies and Clinical Trials – Implementation of Section 505(o)(3) of the FD&C Act</u>	09.2021 - Final	
<u>Considerations for the Inclusion of Adolescent Patients in Adult Oncology Clinical Trials</u>	03.2019 - Final	
<u>Clinical Trial Imaging Endpoint Process Standards</u>	04.2018 - Final	
<u>Information Sheet: Payment and Reimbursement to Research Subjects</u>	01.2018 - Final	
<u>Form FDA 3674 – Certifications to accompany drug, biological product, and device applications/submissions</u>	01.2017 - Final	
<u>Collection of Race and Ethnicity Data in Clinical Trials</u>	10.2016 - Final	
<u>Financial Disclosure by Clinical Investigators</u>	02.2013 - Final	
<u>Oversight of clinical investigations – a risk-based approach to monitoring</u>	08.2013 - Final	
<u>FDA acceptance of foreign clinical studies not conducted under an IND FAQs</u>	03.2012 - Final	
<u>Statement of Investigator (Form FDA 1572) FAQs</u>	06.2010 - Final	
<u>Data retention when subjects withdraw from FDA-regulated clinical trials</u>	10.2008 - Final	



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<u>Establishment and Operation of Clinical Trial Data Monitoring Committees</u>	03.2006 - Final	
<u>Information Sheet: Sponsor-Investigator-IRB Interrelationship</u>	01.1998 - Final	
<u>Information Sheet: Recruiting Study Subjects</u>	01.1998 - Final	
FDA GUIDANCE DOCUMENT CATEGORY: IRB/HSP	CURRENT VERSION	STATUS OF REVISION
<u>Ethical considerations for clinical investigations of medical products involving children</u>	09.2022 - Draft	
<u>Certificates of Confidentiality</u>	11.2020 - Final	
<u>Impact of Certain Provisions of the Revised Common Rule on FDA Regulated Clinical Investigations</u>	10.2018 - Final	
<u>Institutional Review Board (IRB) Written Procedures</u>	05.2018 - Final	
<u>Pregnant Women: Scientific and Ethical Considerations for Inclusion in Clinical Trials</u>	04.2018 - Draft	
<u>Waiver of IRB requirements for Drug and biological product studies</u>	10.2017 - Final	
<u>Minutes of Institutional Review Board (IRB) meetings</u>	09.2017 - Final	
<u>Considerations when transferring clinical investigation oversight to another IRB</u>	05.2014 - Final	
<u>IRB responsibilities for reviewing the qualifications of investigators, adequacy of research sites and the determination of whether and IND/IDE is needed</u>	08.2013 - Final	



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<u>IRB continuing review after clinical investigation approval</u>	02.2012 - Final	
<u>Investigator responsibilities – protecting the rights, safety and welfare of study subjects</u>	10.2009 - Final	
<u>IRB registration FAQs</u>	07.2009 - Final	
<u>Adverse event reporting to IRBs – improving human subject protection</u>	01.2009 - Final	
<u>Process for handling referrals to FDA under 21 CFR 50.54 – Additional Safeguards for Children in Clinical Investigations</u>	12.2006 - Final	
<u>Using a Centralized IRB Review Process in Multicenter Clinical Trials</u>	03.2006 - Final	
<u>Information Sheet: Institutional Review Boards FAQ</u>	01.1998 - Final	
FDA GUIDANCE DOCUMENT CATEGORY: CONSENT	CURRENT VERSION	STATUS OF REVISION
<u>Key Information and facilitating understanding in informed consent guidance for sponsors, investigators and IRBs</u>	03.2024 - Draft	Closed for Comments on 04.30.2024
<u>Informed Consent: Guidance for IRBs, Clinical Investigators, and Sponsors</u>	08.2023 - Final	
<u>Exception from Informed Consent Requirements for Emergency Research</u>	04.2023 - Final	
<u>Informed Consent Elements 21 CFR 50.25(c) Q&As</u>	02.2012 - Final	
<u>Exculpatory language in informed consent</u>	08.2011 - Draft	



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FDA GUIDANCE DOCUMENT CATEGORY: COMPLIANCE	CURRENT VERSION	STATUS OF REVISION
<u>Processes and Practices Applicable to Bioresearch Monitoring Inspections</u>	06.2024 - Draft	Closed for Comments on 09.2024
<u>Conducting remote regulatory assessments questions and answers</u>	02.2024 - Draft	Closed for Comments on 04.24.2024
<u>Remote Interactive Evaluations of Drug Manufacturing and Bioresearch Monitoring Facilities</u>	10.2023 - Draft	
<u>Clinical Investigator administrative actions - disqualification</u>	12.2022 - Final	
<u>Information Sheet: FDA Inspections of Clinical Investigators</u>	06.2010 - Final	
FDA GUIDANCE DOCUMENT CATEGORY: IN VITRO	CURRENT VERSION	STATUS OF REVISION
<u>Sponsor responsibilities – safety reporting requirements and safety assessments for IND and Bioavailability.</u>	06.2021 - Draft	
<u>Investigational IVDs used in clinical investigations of therapeutic products</u>	12.2017 - Draft	
<u>Distribution of In Vitro Diagnostic Products labeled for research use only or investigational use only.</u>	11.2013 - Final	
<u>In vitro diagnostic (IVD) device studies FAQs</u>	06.2010 - Final	
<u>Informed consent for in vitro diagnostic device studies using leftover human specimens that are not individually identifiable</u>	04.2006 - Final	



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FDA GUIDANCE DOCUMENT CATEGORY: 21 CFR PART 11	CURRENT VERSION	STATUS OF REVISION
<u>Electronic Systems, Electronic Records, and Electronic Signatures in Clinical Investigations: Questions and Answers</u>	10.2024 - Final	
<u>Providing Regulatory Submissions in Electronic Format – Certain Human Pharmaceutical Product Applications and Related Submissions Using eCTD Specifications Guidance for Industry.</u>	09.2024 - Final	
<u>Use of Electronic Records and Electronic Signatures in Clinical Investigations under 21 CFR part 11</u>	10.2024 - Final	
<u>Use of Electronic Informed Consent in Clinical Investigations – Q&A</u>	12.2016 - Final	
<u>Electronic Source Data in Clinical Investigations</u>	09.2013 - Final	
<u>Computerized systems used in clinical investigations</u>	05.2007 - Final	
<u>Guidance for Industry – Computerized Systems used in clinical trials</u>	04.1999 - Final	



For the most accurate and up-to-date information related to clinical research guidelines and regulations, visit the ACRP Guidelines and Regulations Resource Center at acrnet.org/insights/guidelines-and-regulations